

# DECLARATION FOR PATENT APPLICATION

As a below named inventor, I, Brian T. Holland, Francois Ballo, Carmen Y. Ortiz, and Dennis L. Mac Donald; hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name, I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which the patent is sought on the invention entitled:

*COLLOIDAL COMPOSITIONS AND METHODS OF PREPARING SAME*

the specification of which

(check one)            ☒ (X)            is attached hereto  
                                  ☐ ( )            was filed on \_\_\_\_\_ as

Application Serial N° \_\_\_\_\_  
 and was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119, of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

## Prior Foreign Applications

## Priority Claimed

_____ (Number)	_____ (Country)	_____ (Day/Mo./Yr. filed)	<input type="checkbox"/> ( ) Yes <input type="checkbox"/> ( ) No
_____ (Number)	_____ (Country)	_____ (Day/Mo./Yr. filed)	<input type="checkbox"/> ( ) Yes <input type="checkbox"/> ( ) No
_____ (Number)	_____ (Country)	_____ (Day/Mo./Yr. filed)	<input type="checkbox"/> ( ) Yes <input type="checkbox"/> ( ) No

I hereby claim the benefit under Title 35, United States Code, §120, of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

_____ (Application Serial N°)	_____ (Filing Date)	_____ (Status - Patented, pending, abandoned)
_____ (Application Serial N°)	_____ (Filing Date)	_____ (Status - Patented, pending, abandoned)

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent & Trademarks Office connected therewith.

Thomas M. Breininger, Reg. No. 29,897  
Margaret Brumm, Reg. No. 33,655  
Michael B. Martin, Reg. No. 37,521

SEND CORRESPONDENCE TO:

Direct telephone calls to:

Michael B. Martin  
Patent and Licensing Department  
Nalco Company  
1601 West Diehl Road  
Naperville, Illinois 60563-1198

Michael B. Martin  
Patent Department  
630-305-1574

I further declare that all statements, data, and figures made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

I also believe that disclosures, statements, data, and figures included in the above mentioned specification and claims are, to my best knowledge, accurate and truthful.

Full name of sole or first inventor Brian T. Holland

Inventor's Signature \_\_\_\_\_

Date \_\_\_\_\_ Country of Citizenship U.S.A.

Residence Oak Park, Illinois

Post Office Address 947 S. East Avenue, Oak Park, Illinois 60304

Full name of second inventor Francois Batllo

Inventor's Signature \_\_\_\_\_

Date \_\_\_\_\_ Country of Citizenship U.S.A.

Residence Burr Ridge, Illinois

Post Office 8484 Kimberly Court, Burr Ridge, Illinois 60527

Full name of third inventor Carmen Y. Ortiz

Inventor's Signature \_\_\_\_\_

Date \_\_\_\_\_ Country of Citizenship U.S.A.

Residence Woodridge, Illinois

Post Office Address 1 Owl Court, Woodridge, Illinois 60517

Full name of fourth inventor Dennis L. Mac Donald

Inventor's Signature \_\_\_\_\_

Date \_\_\_\_\_ Country of Citizenship U.S.A.

Residence Wheaton, Illinois

Post Office Address 1914 Hampton Drive, Wheaton, Illinois 60187

**ASSIGNMENT**

*The ASSIGNOR(S), Brian T. Holland, Francois Batllo, Carmen Y. Ortiz, and Dennis L. Mac Donald*

*have invented certain new and useful improvements in COLLOIDAL COMPOSITIONS AND METHODS OF PREPARING SAME*

*an invention which is fully described in United States Patent Application executed of even date herewith; and*

*The ASSIGNEE, NALCO COMPANY, a DELAWARE corporation having its principal office at 1601 West Diehl Road, in Naperville, Illinois, 60563-1198, wishes to acquire the entire right, title and interest in and to the invention and under Letters Patent which may be obtained for this invention.*

*For valuable and legally sufficient consideration, receipt of which is hereby acknowledged, the Assignor(s) hereby, assigns, transfers and sets over to Assignee, its successors and assigns, the entire right, title and interest in and to the above-identified invention and patent application and all rights and privileges under any Letters Patent of the United States which may issue thereon.*

*The Assignor(s) also assigns, transfers and sets over to Assignee the entire right, title and interest in and to the invention and any patent application and Letters Patent that may be granted thereon in all countries foreign to the United States, including all rights under the International Convention. The Assignor(s) further authorizes Assignee to apply for Letters Patent in foreign countries in the name of Assignee, and to claim the priority of the filing date of the United States Patent Application under the provisions of the International Convention.*

*The Assignor(s) further agrees to assist Assignee in the prosecution of the patent application before the United States Patent Office and the Federal Courts, and any other applications for Letters Patent including renewals, continuations, divisions, reissues and substitutions, that the Assignee elects to make covering the invention. The Assignor(s) vests in Assignee like exclusive title in and to all such other applications and Letters Patent. The Assignor(s) will execute and deliver to Assignee any documents which may be requested by Assignee to carry out the terms of this Assignment.*

*The Commissioner of Patents of the United States is authorized and requested to issue any and all Letters Patent of the United States to Assignee. The Assignor(s) also authorizes and requests the equivalent authorities in foreign countries to issue the patents of foreign countries to Assignee.*

\_\_\_\_\_  
Brian T. Holland

\_\_\_\_\_  
947 S. East Avenue

\_\_\_\_\_  
Oak Park, Illinois 60304

STATE OF )  
 )ss  
COUNTY OF )

*Subscribed and sworn to before me  
this \_\_ day of \_\_\_\_\_ 2004.*

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Francois Batllo

\_\_\_\_\_  
8484 Kimberly Court

\_\_\_\_\_  
Burr Ridge, Illinois 60527

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*Subscribed and sworn to before me  
this \_\_ day of \_\_\_\_\_ 2004.*

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Carmen Y. Ortiz

\_\_\_\_\_  
1 Owl Court

\_\_\_\_\_  
Woodridge, Illinois 60517

STATE OF )  
 )ss  
COUNTY OF )

*Subscribed and sworn to before me  
this \_\_ day of \_\_\_\_\_ 2004.*

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Dennis L. Mac Donald

\_\_\_\_\_  
1914 Hampton Drive

\_\_\_\_\_  
Wheaton, Illinois 60187

STATE OF )  
 )ss  
COUNTY OF )

*Subscribed and sworn to before me  
this \_\_ day of \_\_\_\_\_ 2004.*

\_\_\_\_\_  
Notary Public